

INFRASTRUCTURE INTERDEPENDENCY ASSESSMENTS: INTERDISCIPLINARY RESEARCH AND RISK ANALYSIS AT SANDIA NATIONAL LABORATORIES

Theresa Brown, Ph.D.

Sandia National Laboratories

Albuquerque, New Mexico

The importance and nature of interdisciplinary analyses is reflected in the research conducted at the national laboratories, many (if not most) of which require experts from multiple fields. The degree of collaboration required to complete these interdisciplinary analyses tends to be greater than for analyses performed at universities where the focus is on measuring individual learning, achievement and research. However, the distinction between interdisciplinary national laboratory and university research should be obscured as system complexity increases and we continue to develop and expand our capacity for abstract thought, refine our understanding of system function and evolution, and attempt to improve or modify existing complex and interdependent systems.

Much of the work at the national laboratories involves risk assessment. Risk assessment by its nature, defining consequences (e.g., health effects, mission failure, expenses) and their probabilities (or likelihood), requires interdisciplinary analyses. Applying risk assessment techniques to specific problems or subject matter (e.g., mechanical engineering, environmental science, and communications) increases the areas of expertise necessary to evaluate and solve those problems. Sandia National Laboratories' (SNL's) history of developing and applying risk based assessment methodologies to a wide range of problems, includes: nuclear power plant physical security, critical infrastructure surety, probabilistic performance assessments for radioactive waste disposal, information system vulnerability analysis and infrastructure interdependency assessments¹⁻⁷. SNL's risk-based decision and assessment framework has been implemented by the Nuclear Regulatory Commission for site license termination analyses and is easily adapted to any complex decision and analysis process.⁸ No matter the application, uncertainties must be incorporated at the beginning of the analysis in order to optimize the data collection/modeling/mitigation decision process.

Understanding and quantifying uncertainties and system behavior requires specialists from multiple fields. In the case of infrastructure interdependency analyses, experts on electric power generation and transmission, water distribution systems, hydrogeology, communications systems, economics, meteorology, transportation systems, regulations, emergency services and petroleum engineering, work with government and industry specialists to evaluate the uncertainties and model system (or process) dependencies and the potential effects of infrastructure interdependencies on that system. Simulation tools linking process models to infrastructure models are used in these analyses. Examples of these multidisciplinary analysis tools include dynamic systems models with infrastructure dependencies and interdependencies, agent-based micro-economic simulations with multiple, interdependent infrastructures and advanced interdependencies process modeling.

In each system assessment the perceived significance of the identified risks or vulnerabilities depends on the viewer's scale of concern (e.g., individual business, industry, state, nation) and the metrics of

the analysis (economic criteria, performance thresholds, timetable, likelihood). Due to the scope and complexity of the infrastructure interdependency problem, SNL researchers use several types of modeling and assessment tools. Agent-based models of interdependent infrastructure transactions are used to simulate micro-economic effects of infrastructure disruptions to identify potential cascading impacts and economic consequences. Dynamic system models are used to evaluate model and parameter uncertainties, identify physical limitations and potential macro-economic effects of infrastructure disruptions as a function of system conditions. These modeling tools are used to gain a better understanding of infrastructure interdependencies, systems sensitivities, and the potential changes in those sensitivities as the systems evolve. This knowledge can be used to improve monitoring system designs, system models and analysis methods and provide the technical justification for information sharing and warning systems, planning system improvements, and designing more effective regulations and policies.

We are just beginning these modeling studies and there are challenges that are ideal for collaboration between the university, national laboratory and industry/utility researchers. Potential topics for collaborative research include identifying and evaluating national, state and local policy options; developing models for sustainable development and community planning; predicting system evolution and potential impacts; refining existing systems models and assessments to include interdependencies and uncertainties; evaluating potential micro-economic impacts of infrastructure disruptions, policies and system aging; and evaluating and resolving model scaling issues. In addition to the potential for collaborative research, education programs that reward interdisciplinary research are of value because the nation's research laboratories and industry require and hire researchers that are capable of performing interdisciplinary analyses.

1. Bohn, Michael P., 1992. *Decision Making Under Uncertainty: An Investigation into the Application of Formal Decision-Making Methods to Safety Issue Decisions*. Sandia National Laboratories, Albuquerque, SAND92-1547, p. 85.
2. Gregory D. Wyss, 1993. *The Calculation and Application of Quantitative Risk Goals for Nuclear Facilities*, Sandia National Laboratories, Albuquerque, SAND92- 1827, p. 63.
- 3 . Matalucci, Rudolph V.; Miyoshi, Dennis S, 1998. *Surety Applications in Transportation*. (a paper presented at the Thirty-Fifth Paving and Transportation Conference held January 5, 1998 in Albuquerque, NM) Sandia National Laboratories, Albuquerque, SAND98-0599C, p. 10.
4. Helton, Jon Craig, Bean, James E.; Berglund, Jerry W. Davis, Freddie Joe; Economy, Kathleen M.; Garner, James, Johnson, Jay Dean; Mackinnon, Robert J.; Miller, Jonathan Gregory; O'Brien, Darien, Ramsey, James L., Jr.; Schreiber, James D., Shinta, Ali; Smith, Lanny N; Stoelzel, Daniel M.; Stockman, Christine T.; Vaughn, Palmer, 1998. *Uncertainty and sensitivity analysis results obtained in the 1996 performance assessment for the Waste Isolation Pilot Plant*. Sandia National Laboratories, Albuquerque, SAND98-036, p. 553.
5. Wood, Bradley J.; Duggan, Ruth Ann, 1999. *Red Teaming of Advanced Information Assurance*. (a paper presented at the DARPA Information Survivability Conference (DISCEX2000) held January 25-27, 2000 in Hilton Head, SC), Sandia National Laboratories, Albuquerque, SAND99-2590C, p.7.
6. Webb, E.K., S.H. Conrad and T.J. Brown, 1996, "An Iterative, Probabilistic Environmental Decision Analysis Approach," in *Risk Based Decision Making in Water Resources VII*, Y. Haimes, D. Moser and E. Stakhiv, ed.s, American Society of Civil Engineers, New York, p. 249-264.

7. Barton, Dianne Catherine; Stamber, Kevin L, 2000. *An Agent-Based Microsimulation of Critical Infrastructure Systems*, (paper presented at the International Energy Foundation's ENERGEX 2000 - 8th International Energy Forum held July 23-28, 2000 in Las Vegas, NV). Sandia National Laboratories, Albuquerque, SAND2000-0808C, p. 6.

8. Brown, T., 1998, "Utilizing a Decision Framework with DandD Models and Parameter Analyses for License Termination," in *Transactions of the Twenty-Sixth Water Reactor Safety Information Meeting*, Bethesda MD October 26-28, 1998, NUREG/CP-0165, U.S. Nuclear Regulatory Commission, Washington, DC, pp. 21-22.

Sandia is a multiprogram laboratory operated by Sandia Corporation, a Lockheed Martin Company, for the United States Department of Energy under contract DE-AC04-94-AL85000